Attorney Docket No.: 021911-000400US

Client Ref. No.: OBM42

TOWNSEND and TOWNSEND and CREW LLP

By Camela Skelton
Pamela Skelton

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Alan Kingsman, et al.

Patent No.: 7,074,909

Issued: July 11, 2006

Appl'n No.: 10/016,686

For: ANTIBODIES

Examiner:

HELMS, Larry R.

Technology Center/Art Unit: 1642

REQUEST UNDER C.F.R § 3.81(b)

Office of Petitions

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

On behalf of the Applicants of the above identified application and the Assignee of record as previously recorded on December 15, 2003, the following is a Request under 37 C.F.R. § 3.81(b) for correction of the above identified patent to state the name of the assignee.

In support of this Request, the processing fee under 37 C.F.R. § 1.17(i) of \$130.00 is enclosed.

Also in support of this Request, and as shown by the attached copies, the assignment to **Oxford BioMedica (UK) Limited** was submitted for recordation on December 15, 2003, prior to issuance of the above identified patent on July 11, 2006. Inadvertently, the Recordation Form Cover Sheet (copy attached) filed December 15, 2003 incorrectly identified the assignee as Oxford BioMedica PLC. This error was propagated in item 3 of PTOL-85B, which thus necessitates the instant Request.

The same assignment to **Oxford BioMedica (UK) Limited** has been re-recorded with a corrected Recordation Form Cover Sheet on October 4, 2006 at Reel 018346, frame 0408.

Page 2

Further in support of this Request, a Request for Certificate of Correction under 37 C.F.R. § 1.323 is attached to correct the assignee name on the face of the patent and to correct other minor typographical and clerical errors in the published patent.

The required fee set forth in 37 C.F.R. § 1.20(a) of \$100.00 is also enclosed.

No new matter would be introduced by the Request for Certificate of Correction if granted.

In light of the foregoing, Applicants respectfully submit that the requirements of 37 C.F.R. § 3.81(b) have been complied with, and urge that this Request be granted.

Respectfully submitted,

Kawai Lau Reg. No. 44,461

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834 Tel. (415) 576-0200 Fax (415) 576-0300 60883774 v1

UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231



DECEMBER 16, 2003

PTAS

TOWNSEND AND TOWNSEND AND CREW LLP KAWAI LAU TWO EMBARCADERO CENTER, 8TH FLOOR SAN FRANCISCO, CALIFORNIA 94111-3834 * 700056907A*

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/15/2003

REEL/FRAME: 014195/0528

NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KINGSMAN, ALAN

DOC DATE: 11/12/2003

ASSIGNOR:

KINGSMAN, SUSAN MARY

DOC DATE: 11/12/2003

ASSIGNOR:

BEBBINGTON, CHRISTOPHER R.

DOC DATE: 11/23/2003

ASSIGNOR:

CARROL, MILES W.

DOC DATE: 11/14/2003

ASSIGNOR:

ELLARD, FIONA M.

DOC DATE: 11/12/2003

ASSIGNOR:

MYERS, KEVIN A.

DOC DATE: 11/12/2003

014195/0528 PAGE 2

ASSIGNEE:

OXFORD BIOMEDICA PLC
MEDAWAR CENTRE, ROBERT ROBINSON
AVENUE
THE OXFORD SCIENCE PARK
OXFORD, UNITED KINGDOM OX4 4GA

SERIAL NUMBER: 10016686

PATENT NUMBER:

FILING DATE: 11/02/2001

ISSUE DATE:

LAZENA MARTIN, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

12/15/2003 SAN DIEGO 700056907

2002/007

Attorney Docket No. 021911-000400US

Form PTO-1595 (Rev. 10-02) OMB No. 0651-0027 (exp. 5/31/2002)	v. 10-0 <i>2</i>)		iheet U.S. Department of Commerce U.S. Patent and Trademark Office		
Tab settings ⇔⇔⇔ ▼	▼	▼ ▼	▼	₩	
To the Honorable Commissi	oner of Patents and Trademarks.	Please record the attached or	iginal documents or copy then	eor	
Name of conveying party(iee):		2. Name and address of	receiving party(ies)		
Alan Kingsman		Name: Oxford BioMedica PLC			
Additional name(s) of conveying party(ies) attached? Yes No. No.		Internal Address:			
	☐ Merger	Street Address: Medawar Centre, Robert Robinson Avenue, The Oxford Science Park			
Security Agreement	☐ Change of Name	City: Oxford	State: UK. ZIF	: OX4 4GA	
☐ Other:					
Execution Date: 1) 11/12/03 2) 11 11/14/03 5) 11/12/03 6) 11/12/0		Additional name(s) and a	ddress(es) attached? 🔲 Y	∕es ⊠ No	
4. Application number(s) or patent nu	ımbər(s):				
If this document is being filed toge	ther with a new application, th	e execution date of the app	lication is:		
A. Patent Application No(s): 10/016,686		B. Patent No(s):			
Additional numbers attached? Yes No					
Name and address of party to whom correspondence concerning document should be mailed;		6. Total number of applications and patents involved 1			
Name: Kawai Lau TOWNSEND AND TOWNSEND AND CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834 (415) 576-0200		7. Total fee (37 CFR 3.41):			
		☐ Enclosed			
		☑ Authorized to be charged to deposit account			
,		8. Deposit account number: 20-1430			
		(Attach duplicate copy of this page if paying by deposit account)			
DO NOT USE THIS SPACE					
Statement and signature. To the best of my knowledge and is a true copy of the original document.	belief, the foregoing information		ny attached copy		
Kawai Lau Name of Person Sign Atty. Reg. No. 44,4		gnature	December 15, 2003 Date		
• •	otal number of pages including co	ver sheet, attachments and do	cumente: 6		

5/5 TOWNSEND SAN DIEGO

RightFAX

☑ 003/007

Form PTO-1595 Recordation Form Cover Sheet Patents Only Page 2

Additional name(s) of conveying party(les): (Continued from Page 1)

Susan Mary Kingsman Christopher R. Bebbington Miles W. Carrol Fiona M. Ellard Kevin A. Myers

- Additional name(s) and address(es) of receiving party(ies): (Continued from Page 1)
- Additional application number(s) or patent number(s): (Continued from Page 1)
 - A. Patent Application No.(s)

B. Patent No.(s)

601 02 170 vi

Attorney Docket No.: 021911-000400US

Client Reference No.: OBM42

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Alan Kingsman, c/o Oxford BioMedica (UK) Ltd. Medawar Centre, Robert Robinson Avenue, The Oxford Science Park, Oxford, OX4 4GA United Kingdom; Susan Mary Kingsman, c/o Oxford BioMedica (UK) Limited, Medawar Centre, Robert Robinson Avenue, The Oxford Science Park, Oxford, OX4 4GA United Kingdom; Christopher R. Bebbington of 132 Avila Road, San Mateo, California 94402; Miles W. Carroll, c/o Oxford BioMedica Limited, Medawar Centre, Robert Robinson Avenue, The Oxford Science Park, Oxford, OX4 4GA United Kingdom; Fiona M. Ellard, c/o Oxford BioMedica (UK) Limited, Medawar Centre, Robert Robinson Avenue, The Oxford Science Park, Oxford, OX4 4GA United Kingdom; Kevin A. Myers, c/o Oxford BioMedica (UK) Limited, Medawar Centre, Robert Robinson Avenue, The Oxford Science Park, Oxford, OX4 4GA United Kingdom, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:

ANTIBODIES

Date(s) of execution of Declaration:

Filing Date:

November 2, 2001

Application No.:

10/016,686; and

WHEREAS, Oxford BioMedica (UK) Limited, a corporation of United Kingdom, located at Medawar Centre, Robert Robinson Avenue, The Oxford Science Park, Oxford, OX4 4GA, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignment
Attorney Docket No.: 021911-000400US
Page 2

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 12 November 2003

Alan Kingsman

Dated: Christopher R. Bebbington

Dated: 12 November 2003

Miles W. Carroll

Dated: 12 November 2003.

Fiona M. Ellard

Kevin A Myers

Dated: 12th November 2003

60070296 v1

Assignment Attorney Docket No.: 021911-000400US Page 2

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Alan Kingsman
Susan Mary Kingsman
C.R. Bellyt.
Christopher R. Hebbington
Miles W. Carroll
Fiona M. Ellard
Kevin A. Myers

60070296 v1